

# LAST LECTURE

- Semantic Argument-based method for Validity of FOL Formula
  - Can it be directly used for checking satisfiability of FOL Formula? No. Consider the formula  $\forall x . \exists y . p(x, y)$ . Applying the proof rules will result in an interpretation which is not satisfying.
- Why do we insist on fresh values in some proof rules?
  - Example in class:  $\exists x . \exists y . \exists z . p(x, y) \wedge \neg p(x, z)$ .
- Prenex Normal Form
  - Is  $\forall x . \exists y . p(x, y) \Leftrightarrow \exists y . \forall x . p(x, y)$ ?
  - What about  $\forall x . \exists y . p(x) \wedge q(y)$  and  $\exists y . \forall x . p(x) \wedge q(y)$ ?



# SATISFIABILITY MODULO THEORIES (SMT)



# SMT - INTRODUCTION

- In FOL, predicates and functions are in general **uninterpreted**
- In practice, we may have a specific meaning in mind for certain predicates and functions (e.g.  $=$ ,  $\leq$ ,  $+$ , etc.)
- First-order Theories allow us to formalise the meaning of certain structures.



# FIRST-ORDER THEORY

- A First-order Theory ( $T$ ) is defined by two components:
  - Signature ( $\Sigma_T$ ) : Contains constant, predicate and function symbols
  - Axioms ( $A_T$ ) : Set of closed FOL formulae containing only the symbols in  $\Sigma_T$
- A  $\Sigma_T$ -formula is a normal FOL formula which only contains symbols from  $\Sigma_T$



# SATISFIABILITY AND VALIDITY

## MODULO THEORIES

- An interpretation  $I$  is called a  $T$ -interpretation if it satisfies all the axioms of the theory  $T$ 
  - For all  $A \in A_T$ ,  $I \models A$
- A  $\Sigma_T$ -formula  $F$  is satisfiable modulo  $T$  if there is a  $T$ -interpretation that satisfies  $F$
- A  $\Sigma_T$ -formula  $F$  is valid modulo  $T$  if every  $T$ -interpretation satisfies  $F$ 
  - Also denoted as  $T \models F$



ENTAILS



# SATISFIABILITY AND VALIDITY

## MODULO THEORIES

- Which is of the following holds?
  - $F$  is satisfiable  $\Rightarrow$   $F$  is satisfiable modulo  $T$
  - $F$  is satisfiable modulo  $T \Rightarrow F$  is satisfiable
- Which is of the following holds?
  - $F$  is valid  $\Rightarrow$   $F$  is valid modulo  $T$
  - $F$  is valid modulo  $T \Rightarrow F$  is valid



# COMPLETENESS AND DECIDABILITY

- A theory  $T$  is complete if for every closed formula  $F$ , either  $F$  or  $\neg F$  is valid modulo  $T$ 
  - $T \models F$  or  $T \models \neg F$
- Is FOL (i.e. 'empty' theory) complete?
  - No. Consider  $F : \exists x . p(x)$ . Neither  $F$  nor  $\neg F$  is valid.
- A theory  $T$  is decidable if  $T \models F$  is decidable for every formula  $F$ .
- Even though FOL (or empty theory) is undecidable, various useful theories are actually decidable.



# PRESBURGER ARITHMETIC ( $T_{\mathbb{N}}$ )

## THE THEORY OF NATURAL NUMBERS

- Signature,  $\Sigma_{\mathbb{N}} : 0, 1, +, =$ 
  - 0, 1 are constants
  - + is a binary function
  - = is a binary predicate.
- Axioms:

1.  $\forall x. \neg(x + 1 = 0)$  (zero)
2.  $\forall x, y. x + 1 = y + 1 \rightarrow x = y$  (successor)
3.  $F[0] \wedge (\forall x. F[x] \rightarrow F[x + 1]) \rightarrow \forall x. F[x]$  (induction)
4.  $\forall x. x + 0 = x$  (plus zero)
5.  $\forall x, y. x + (y + 1) = (x + y) + 1$  (plus successor)



# PRESBURGER ARITHMETIC

## INTERPRETATION

- |   |                  |
|---|------------------|
| 1. $\forall x. \neg(x + 1 = 0)$   | (zero)           |
| 2. $\forall x, y. x + 1 = y + 1 \rightarrow x = y$                                  | (successor)      |
| 3. $F[0] \wedge (\forall x. F[x] \rightarrow F[x + 1]) \rightarrow \forall x. F[x]$ | (induction)      |
| 4. $\forall x. x + 0 = x$   | (plus zero)      |
| 5. $\forall x, y. x + (y + 1) = (x + y) + 1$  | (plus successor) |

- The intended  $T_{\mathbb{N}}$ -interpretation is  $\mathbb{N}$ , the set of natural numbers
- Does there exist a finite subset of  $\mathbb{N}$  which is also a  $T_{\mathbb{N}}$ -interpretation?
  - Which axiom will be violated by any finite subset?
- Are negative numbers allowed by the axioms?